

Docket No.: 42390P13764

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

HARRIMAN ET AL.

Application No.: 10/040,605

Filed: December 28, 2001

For: **COMMUNICATING TRANSACTION**

TYPES BETWEEN AGENTS IN A

COMPUTER SYSTEM USING PACKET HEADERS INCLUDING FORMAT AND

TYPE FIELDS

Art Group: 2141

Examiner: Rupal Dharia

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

04/01/2005 WASFAW1 00000043 10040605

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully	submitted,
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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 28, 2005

Paul A. Mendonsa, Reg. No. 42,879

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (503) 439-8778

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

(Complete if Known
Application Number	10/040,605
Filing Date	December 28, 2001
First Named Inventor	Harriman et al.
Art Unit	2141
Examiner Name	Rupal Dharia
Attorney Docket Number	42390P13764

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.'	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-5,293,379	03-08-1994	Carr et al			
	2	US-6,395,637	05-28-2002	Park et al			
	3	US-6,493,343	12-10-2002	Garcia et al			
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	FOREIGN PATENT DOCUMENTS								
Examiner	Examiner Cite	Foreign Patent Document			Pages, Columns, Lines,				
	No.1	Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear				
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Examiner	Date
Signature	Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible policant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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TRANSMITTAL FORM (to be used for all correspondence after initial filing) Application No. 10/040,605 Filing Date December 28, 2001 First Named Inventor Harriman et al. Art Unit 2141

Examiner Name

Rupal Dharia

Total Number of Pages in This Submission 6 Attorney Docket Number 42390P13764

	Attorney bocket Number	42390F13704			
ENCLOSURES (check all that apply)					
Fee Transmittal Form	Drawing(s)	After Allowance Communication to Group			
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final Affidavits/declaration(s)	Petition to Convert a Provisional Application	Proprietary Information			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Express Abandonment Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):			
Information Disclosure Statement	Request for Refund	Check in the amount \$180.00 Return postcard			
PTO/SB/08	CD, Number of CD(s)				
Certified Copy of Priority Document(s)					
Response to Missing Parts/ Incomplete Application	Remarks				
Basic Filing Fee	Tremand				
Declaration/POA					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATUR	E OF APPLICANT, ATTORNEY, OR A	GENT			
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har early and the second	OKOLOFF, TAYLOR & ZAFM	IAN LLP			
Signature And Manday					
Date March 28, 200					
CERTIFICATE OF MAILING/TRANSMISSION					
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Typed or printed name Katherine Jennings					
Signature	ed lennings	Date March 28, 2005			

Based on PTO/SB/21 (04-04) as modified by Blakely, Solokoff, Taylor & Zafmar (wir) 06/04/2004 SEND TO: Commissioner for Patents, P.O. Box 1450, Afexandria, VA 22313-1450

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E TRANSMITTAL	Co	omplete if Known		
TANDER TO THE TE	Application Number	10/040,605		
for FY 2005	Filing Date	December 28, 2001		
Patent fees are subject to annual revision.	First Named Inventor	Harriman et al.		
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Rupal Dharia		
TOTAL AMOUNT OF PAYMENT (©)	Art Unit	2141		
TOTAL AMOUNT OF PAYMENT (\$) 180.00	Attorney Docket No.	42390P13764		
METHOD OF PAYMENT (check all that apply)				
☐ Credit card ☐ Money Order ☐ None ☐ ☐ ☐ ☐ ☐ ☐ ☐	Other (please identify): _			
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP				
For the above-identified deposit account, the Director is Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	Charge fee(s) inc	dicated below, except for the filing fee		

Large Entity Small Entity

Large Er	ntity	Small	Entity		
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)	1 de Beschphon	i ee raiu
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	-
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))
Other f	ee (spe	cify) _			
				SUBTOTAL (2)	\$) 180.00

SUBMITTED BY

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Date 03/28/05